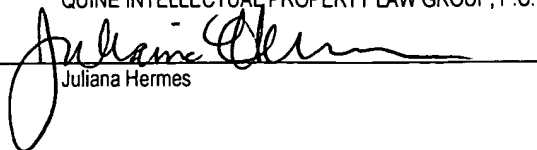


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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

  
Juliana Hermes

Attorney Docket No. 70-000150US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quan Nguyen, et al.

Application No.: 10/716,174

Filed: November 17, 2003

For: CAGED SENSORS, REGULATORS  
AND COMPOUNDS AND USES  
THEREOF

Examiner: Unassigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97 and  
§ 1.98

Commissioner for Patents  
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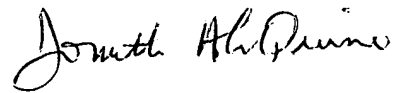
Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Jonathan Alan Quine".

Jonathan Alan Quine, Reg. No. 41,261  
for Monica Elrod-Erickson, Reg. No. 51,651

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/716,174

Filing Date

November 17, 2003

First Named Inventor

Quan Nguyen

Group Art Unit

1645

Examiner Name

Unassigned

Attorney Docket Number

70-000150US

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/ Incomplete Application  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)  
☐ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Small Entity Statement  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter

- ☒ Additional Enclosure(s) (please identify below):  
1449 form, cited references and receipt acknowledgment postcard

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jonathan Alan Quine, Reg. No. 41,261 for Monica Elrod-Erickson, Reg. No. 51,651

Signature

*Jonathan Alan Quine*

Date

September 16, 2004

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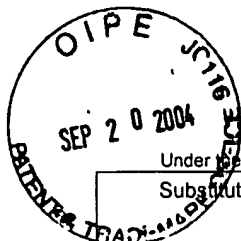
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application Number	10/716,174
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First Named Inventor	Quan Nguyen
Group Art Unit	1645
Examiner Name	Unassigned
Attorney Docket Number	70-000150US
Date Submitted	September 16, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
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	2	5,563,050	A1	Peyman et al.	10-08-1996	
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	19	2002/0182223	A1	LaCount et al.	12-05-2002	
	20	60/439,359		Lawrence, et al.	01-10-2003	
	21	60/505,097		Lawrence, et al.	09-22-2003	

## FOREIGN PATENT DOCUMENTS

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		Office	Number	Kind Code (if known)				
	22	WO	2003/000933	A1	Georgia Tech	01-03-2003		
	23	WO	2004/037983		Einstein College of	05-06-2004		

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	Examiner Name	<b>Unassigned</b>
	Attorney Docket Number	<b>70-000150US</b>
	Date Submitted	<b>September 16, 2004</b>

					Medicine of Yeshiva University			
	24	WO	2004/045547		GenoSpectra	06-03-2004		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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Examiner Signature		Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A-B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>	<b>Complete if Known</b>	
	Application Number	<b>10/716,174</b>
	Filing Date	<b>November 17, 2003</b>
	First Named Inventor	<b>Quan Nguyen</b>
	Group Art Unit	<b>1645</b>
	Examiner Name	<b>Unassigned</b>
	Attorney Docket Number	<b>70-000150US</b>
	Date Submitted	<b>September 16, 2004</b>

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Examiner Signature		Date Considered	
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